

2818
#17

O I P E J C A S APR 14 2003		TRANSMITTAL LETTER		Case No. 10519-30
Serial No. 09/939,498	Filing Date August 24, 2001	Examiner D.Vu	Group Art Unit 2818	
Inventor(s) SUBRAMANIAN S. RAO				
Title of Invention VERTICALLY STACKED FIELD PROGRAMMABLE NONVOLATILE MEMORY AND METHOD OF FABRICATION				

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate); Third Supplemental Information Disclosure Statement; D1-D2 Cited References; and Postcard Receipt.


- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9=			x \$18=	
x 42=			x \$84=	
+\$140=			+\$280=	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ _____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


 JOSEPH F. HETZ
 Registration No. 41,070
 Attorney for Applicant

BRINKS HOFER GILSON & LIONE
 P.O. BOX 10395
 CHICAGO, ILLINOIS 60610
 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 9, 2003.

Date: 4/9/03 Signature: [Signature]



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on April 9, 2003

Date of Deposit

Joseph F. Hetz - Reg. No. 41,070

Name of Applicant, Assignee or
Registered Representative

[Signature]
Signature

RECEIVED
APR 16 2003
TECHNOLOGY CENTER 2800

Patent
Our Case No. 10519-30

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)		
Subramanian et al.)		
)	Examiner:	D. Vu
Serial No.: 09/939,498)		
)	Group Art Unit:	2818
Filed: August 24, 2001)		
)		
For: Vertically Stacked Field)		
Programmable Nonvolatile)		
Memory and Method of)		
Fabrication)		

THIRD SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.99, Applicants hereby submit documents D1-D2 listed on the attached form PTO-1449 for consideration by the Examiner. Copies of these documents are being submitted herewith.


Applicants request that the Examiner review the entire disclosure of these documents and make them of record.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, Applicants reserve the right to contest that any of the documents submitted herewith is prior art against the present application.

Pursuant to 37 C.F.R. § 1.97(e)(2), Applicants state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Dated: April 9, 2003

Respectfully submitted,



Joseph F. Hetz
Reg. No. 41,070
Attorney for Applicants

BRINKS HOFER
GILSON & LIONE
P.O. Box 10395
Chicago, Illinois 60610
(312) 321-4719



FORM PTO-1449	SERIAL NO. 09/939,498	CASE NO. 10519-30
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE August 24, 2001	GROUP ART UNIT 2818
APPLICANT(S): Subramanian et al.		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	D1	5,726,484	03/1998	Hart et al.		
	D2	6,124,154	09/2000	Miyasaka		

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.